

ORIGINAL

Application Based on

Docket **86316NAB**

Inventors: Todd D. Benham

Customer No. 01333

**A METHOD AND APPARATUS FOR SCANNING IRREGULAR
SHAPED DOCUMENTS**

Commissioner for Patents,
ATTN: MAIL STOP PATENT APPLICATION
P.O. Box 1450
Alexandria, VA. 22313-1450

Express Mail Label No.: EV 293511279 US

Date: August 4, 2003

**A METHOD AND APPARATUS FOR SCANNING IRREGULAR SHAPED
DOCUMENTS**

CROSS REFERENCE TO RELATED APPLICATIONS

Reference is made to commonly-assigned copending U.S. Patent
5 Application Serial No. 10/036,587, filed November 7, 2001, entitled SCAN
MODULE CCD USED AS MACHINE CONTROL SENSOR, by Tesavis et al.,
the disclosure of which is incorporated herein.

FIELD OF THE INVENTION

This invention relates to scanning documents with holes, cutouts,
10 perforations, and similar abnormalities using an automatic document feeder on a
production scanner.

BACKGROUND OF THE INVENTION

Current production scanners with an automatic document feeder
limit the types of documents that can be fed and imaged correctly. For example, if
15 a document has a hole or tear, it may jam or cause multiple images when a single
image is desired. Also, if a document has a cutout, making an "L" shaped
document, current production scanners often feed the next document too early
resulting in a truncated part of the document in the image or including part of the
next document in the current image. In another example, a document with
20 perforations, typically on a edge of the document, confuse sensors and processor
algorithms and may cause a jam condition. Also, documents fed at extreme
angles normally result in images missing part of the document such as truncated
corners. A similar result would occur for triangular or folded documents. These
kinds of document feed problems cause malfunctions of automatic document
25 scanners.

Prior art attempts to solve these problems have included use of
multiple sensors to detect the leading edge or the trailing edge of documents
passing through the scanner. These sensors may be located in a line so that if any
of the sensors detect an edge of a document, even if the document is tilted, a
30 controller for the scanner starts image capture and turns off the transport feed
mechanism. Multiple sensors, however, add to the cost of the scanner. It would
be advantageous to minimize the number of sensors used for document detection

and at the same time compensate for document cutouts, holes, and other problems described above.

SUMMARY OF THE INVENTION

Briefly, according to one aspect of the present invention a scanner
5 comprises a transport mechanism for moving a document and a sensor for
detecting a leading edge and trailing edge of the document. A camera scans the
document, detecting a leading edge and a trailing edge of the document. A
controller receives a digital signal from the camera when the camera detects the
document in a field of the camera and receives a digital signal from the sensor
10 when the sensor detects the document in a field of the sensor. The controller starts
image capture when a leading edge of the document is detected by either the
sensor or the camera, and stops image capture when a trailing edge of the
document is detected by either the sensor or the camera. The drive mechanism is
turned off when a leading edge of the document is detected by either the sensor or
15 the camera, and starts a drive mechanism when a trailing edge of the document is
detected by either the sensor or the camera.

In one embodiment, feeding and scanning of documents with
abnormal features such as holes, cutouts, ruffled edges, extreme skew, off-center,
is accomplished using a single sensor and the camera for document detection. The
20 camera in conjunction with the ultrasonic paper sensor is used to detect the
beginning or the end of a page all the way across the width of paper path. This is
akin to having multiple sensors across the width of the transport. If a page can be
transported (fed), it can be scanned.

The present invention solves the problem of documents with, for
25 example, a hole in the center of a page. A scanner usually processes this as two
documents or a jam condition. By using the camera page detection, the image
could be captured as one image. This could handle any number of holes in the
document as long as the document can still be fed and transported.

Another problem solved is checks fed at a 45-degree angle.
30 Normally a scanner truncates the corners in the image based on where the check
passes under the ultrasonic paper sensor. In the present invention the camera

detects the entire width of the paper path and the entire document can be captured without any truncation.

Another problem solved by the present invention is a page with a cutout such as a document with a warranty card removed. A scanner is likely to
5 truncate part of the image beyond the first cut point that passes under a single ultrasonic paper sensor. According to the present invention, the camera can detect and capture the extra part.

Thus, according to the present invention nearly any document can be captured if it can be fed and transported. This includes round, oval, diamond,
10 and even paper cut into shapes such as an animal or a person.

The invention and its objects and advantages will become more apparent in the detailed description of the preferred embodiment presented below.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram of a controller and interfaces devices
15 according to the present invention.

Figure 2 shows documents with holes.

Figure 3 shows a skewed document and the part that would be truncated by a prior art scanner.

Figure 4 shows a document with a cutout.

Figure 5 shows a top view of a scanner, which includes an upper
20 camera and an ultrasonic paper sensor.

Figure 6 show a side view of a scanner, which includes relative locations of the cameras and the ultrasonic paper sensor.

Figure 7 shows two documents in the feed path.

DETAILED DESCRIPTION OF THE INVENTION

The present invention will be directed in particular to elements forming part of, or in cooperation more directly with the apparatus in accordance with the present invention. It is to be understood that elements not specifically shown or described may take various forms well known to those skilled in the art.

Referring now to Figure 1, the controller 10 uses a sensor 2 and a
30 field programmable gate array (FPGA) 26 for input, which controls the feeding, transporting, and capturing of documents 12 (shown in Figure 2). The FPGA 26

is programmed and saves as a front-end controller (FEC). Alternately, the FPGA 26 can be replaced with an application specific integrated circuit (ASIC) programmed as the FEC. The FEC uses either the upper camera 17, or the lower camera 16, or both for input. The FEC manipulates input data from the cameras and sensors, and provides image capture control as well as page detection to the microprocessor 3. A drive mechanism 5 feeds a document 12 from the input tray 11 (shown in Figure 6) utilizing a feeder clutch such that the transport mechanism 6, utilizing a motor control, moves the document 12 steadily past the cameras.

Specialized page detection requires the user to put the scanner in a particular mode. The camera, when used as a page detection sensor, is enabled just before a page is fed using an edge detect signal in the FEC. The ultrasonic paper sensor 2 is used as a secondary sensor and is always enabled.

Referring to Figures 5 and 6, to detect the lead edge of a document 12, the controller 10 activates the transport mechanism 6 and then the drive mechanism 5 in order to feed a document 12 into the scanner 30 (shown in Figure 6). The ultrasonic paper sensor 2 is used only to acknowledge that a document 12 is on the way and turns off the drive mechanism 5. The FEC uses the camera to detect the lead edge of a document 12 and provides the ability to start imaging when the document 12 reaches a camera location by detecting enough pixels at the proper light threshold from within the FEC. The FEC provides an interrupt to the controller 10 for each lead or trail edge detected within the camera sensor zone 20. For two sided scanning, the upper camera 17 and lower camera 16 are used independently for the detection the leading and trailing edge of the document 12 in the camera sensor zone. For one-sided scanning, only one camera is used.

Referring now to Figure 3, the corner of a document, such as a check-sized document 14, is captured completely, according to the present invention. This image capture is accomplished despite a large skew angle and not traversing the sensor path 22. Without this mode, there would be a truncated image part 21 for the leading edge. To detect the trailing edge of a document 12, the camera, acting as a sensor, provides the ability to stop imaging when the document 12 is completely passed the camera location by detecting the lack of enough pixels at the proper light threshold from within the FEC. The corners 21

of a document, such as a check-sized document 14, is captured completely. Thus, the entire image of the check 14 is captured despite the skew angle of the check in the feeder path and corners of the check being missed by the signal center line sensor.

5 Referring now to Figure 4, a cutout document 15 is captured completely, according to the present invention. Prior art scanners that did not use the camera as a both a sensor and an image capture device would miss a truncated image part 21 for trailing edge. The prior art scanners relying solely on a single center line sensor would stop image capture after trailing edge 32 had cleared
10 sensor 2.

The camera, acting as a sensor, is enhanced to detect "activity" at the camera from within the FEC. If the image seems to be changing and active, it is still capturing a valid image. This is used to improve jam detection. If it is not active for a small amount of time, it is considered jammed. Even for a solid color
15 document, the camera detects small amounts of activity. The sensitivity needs to be selected to balance between missed jams and false jams.

Referring now to Figure 2, the ultrasonic paper sensor 2 is ignored if a document 12 appears to be in view of a camera as detected by the camera. The ultrasonic paper sensor 2 is ignored if a new lead edge 31 or trail edge 32 is
20 detected while there is activity at the camera sensor 20 in order to ignore one or more holes 13 in a document 12 as shown in Figure 2.

The ultrasonic paper sensor 2 is used for maximum length jam detection, that is, the scanner is considered jammed if the sensor 2 or the camera sensor 20 is blocked longer than the longest allowable length of the document 12.
25 However, the camera is the primary means of determining actual length in the paper motion direction to determine if the document is jammed.

A second document 34, shown in Figure 7, is fed when the sensor 2 and the camera are cleared. This prevents overlap of with document 12 but forces a larger gap 36 between documents while in this mode.

30 If a document 12 has a black line 38 all the way across the width of the document 12, the camera may sense the line as the edge of the paper. In this

case, the ultrasonic paper sensor 2 is used as the secondary sensor to validate the camera and avoid splitting the document 12 into multiple images.

Figure 5 shows scanner 30 from a top view, including the approximate locations of the upper camera 17 and the sensor 2. The paper moves from right to left, as shown. The viewing width 25 corresponds to the zone 20 for which a lead and trail edge can be detected. A charged coupled device (CCD), which is used to capture an image of the document, is actually wider than the viewing width 25. The camera sensor viewing area 25 and the sensor zone are equal in the embodiment shown. In other embodiments, however, the sensor zone 20 may be less than the camera sensor viewing area 25, also called image capture area, as shown in Figure 5.

Referring now to Figure 6, the scanner is shown in a side view, including the approximate location of the upper camera 17, the lower camera 16, and the sensor 2. The distance between the lower camera 16 and the upper camera 17 is shown as A. The distance between the sensor 2 and the upper camera 17 is shown as C. The paper moves from the right to left and from the input tray 11 to the output tray 28. Each edge reaches the sensor 2 and then each camera sensor. When scanner 30 is used for single sided scanning agreement between sensor 2 and upper camera 17 are needed to detect the trailing edge of the document. When scanner 30 is used for duplex or double sided scanning agreement between the sensor 2, upper camera 17, and lower camera 16 are needed to ensure images are captured of both sides of the document.

The invention has been described in detail with particular reference to certain preferred embodiments thereof, but it will be understood that variations and modifications can be effected within the scope of the invention.

PARTS LIST

- 2. Sensor
- 3. Microprocessor
- 5. Drive mechanism (feeder)
- 6. Transport mechanism
- 10. Controller
- 11. Input tray
- 12. Document
- 13. Hole
- 14. Check-sized document, skewed
- 15. Cut-out document
- 16. Lower Camera
- 17. Upper Camera
- 20. Sensor zone
- 21. Truncated image part
- 22. Sensor Path
- 25. Camera sensor viewing area
- 26. Field programmable gate array (FPGA)
- 28. Output tray
- 30. Scanner
- 31. Leading edge
- 32. Trailing edge
- 34. Second document
- 36. Gap
- 38. Black line